

ST. MARY'S COUNTY ETHICS COMMISSION INITIAL FINANCIAL DISCLOSURE STATEMENT (FORM 2)

Regular Reporting Period: January 1 through December 31,
or
Termination Report: January 1 through _____,

PART I. IDENTIFYING INFORMATION

FIRST NAME	INITIAL	LAST NAME
ADDRESS		
POSITION/BOARD OR COMMISSION		
DEPARTMENT (IF APPLICABLE)		
E-MAIL ADDRESS		

PART II. SIGNATURE

This financial disclosure statement describes all interests and related transactions and matters required to be disclosed by Chapter 158 of the *Code of St. Mary's County, Maryland* (the St. Mary's County Public Ethics Ordinance) with respect to the period indicated and pertaining to the person filing the statement.

I hereby make oath or affirm under the penalties of perjury that the contents of this financial disclosure statement, are complete, true and correct to the best of my knowledge, information and belief.

Signature of Person Filing: _____

Date: _____

STANDARDS OF CONDUCT

The St. Mary's County Public Ethics Ordinance includes standards of conduct applicable to financial disclosure filers and other St. Mary's County employees. The standards address disqualification from participation, prohibited secondary employment, prohibited ownership interests, misuse of position, prohibited solicitation and acceptance of gifts, misuse of confidential information, post-employment limitations, prohibited dealings with St. Mary's County. The Law provides for exceptions and exemptions under certain circumstances.

Filers wanting more detailed information about these requirements should contact the offices of the St. Mary's County Ethics Commission.

PRIVACY NOTICE

The St. Mary's County Public Ethics Ordinance (Chapter 158 of the *Code of St. Mary's County, Maryland*) requires the collection of this information, which will be used primarily for public disclosure and to determine compliance with the Law. The information may be disclosed to any requesting person, including officials of State, local or federal government, who records their name and address, and this record will be provided to the filer upon request. Failure to file or to report information required by §158-7 of the St. Mary's County Public Ethics Ordinance can subject you to civil and administrative penalties including termination or other disciplinary action, suspension of pay, and a civil fine of up to \$5,000 per day. Willful and false filing is subject to criminal penalty for perjury pursuant to Criminal Law Article §9-101, *Annotated Code of Maryland*.

Part A – Real Property Interests

Do you, or does any member of your immediate family, have any interest in real property in Maryland or in any other state or country that meets any one of the following criteria:

- A. Property subject to a lease or other contract with St. Mary's County;
- B. Property where a co-owner has a contract with St. Mary's County;
- C. Property that was negotiated for sale or lease or sold or leased to St. Mary's County during the reporting period;
- D. Property that you plan to offer for lease or sale to St. Mary's County; or
- E. Property that now is, or is intended to be, the subject of any application or proceeding involving a St. Mary's County department, board or commission.

NO

YES

IF YES: ANSWER EACH QUESTION ON SCHEDULE A. A SEPARATE SCHEDULE A WILL BE REQUIRED FOR EACH PROPERTY YOU NEED TO DISCLOSE.

Part B – Interests in Corporations and Business Entities

Did you, or does any member of your immediate family, have any interest in any corporation, general or limited partnership, limited liability partnership (LLP), limited liability limited partnership (LLLP) or limited liability company (LLC) during the reporting period that met the following criteria:

- A. interest of greater than 3 percent in an entity that does business with, or is regulated by or licensed by, or lobbies before St. Mary's County;
- B. interest of greater than 3 percent in an entity negotiating a contract or having a contract with St. Mary's County that involves doing business with St. Mary's County in the amount of \$5,000 or more annually;
- C. interest of 3 percent or greater in an entity in which an interest is also owned by a person regulated by, contracting with (in an amount of \$5,000 or more annually), licensed by, or lobbying before St. Mary's County; or
- D. interest of 3 percent or greater in an entity that owns a direct financial interest in another entity regulated by, contracting with (in an amount of \$5,000 or more annually), licensed by, or lobbying before St. Mary's County?

NO

YES

IF YES: ANSWER EACH QUESTION ON SCHEDULE B. A SEPARATE SCHEDULE B WILL BE REQUIRED FOR EACH INTEREST YOU NEED TO DISCLOSE.

Part C – Officers, Directorships, Salaried Employment and Similar Interests

During the reporting period, did you, or any member of your immediate family, have any salaried employment with, or hold any office or directorship in, an entity that did business with, or was regulated by, or was licensed by, or lobbied before St. Mary's County?

NO

YES

IF YES: ANSWER EACH QUESTION ON SCHEDULE C. A SEPARATE SCHEDULE C WILL BE REQUIRED FOR EACH INTEREST YOU NEED TO DISCLOSE.

Part D – Gifts

During the reporting period, did you, or any member of your immediate family, receive a gift in excess of a value of \$20 or a series of gifts from the same donor with a cumulative value of \$100 or more from or on behalf of, directly or indirectly, any person who does business with or is regulated by or licensed by St. Mary’s County or is registered or required to register with St. Mary’s County under the lobbying title of the Public Ethics Ordinance? You need not report the following gifts: 1) from your spouse, children or parents or 2) campaign contributions.

NO **YES**

IF YES: ANSWER EACH QUESTION ON SCHEDULE D. A SEPARATE SCHEDULE D WILL BE REQUIRED FOR EACH GIFT YOU NEED TO DISCLOSE.

Part E – Other

Is there any additional information or interest you would like to include?

NO **YES**

IF YES: PROVIDE ADDITIONAL INFORMATION ON SCHEDULE E.

_____ **NO REPORTABLE EMPLOYMENT OR INTERESTS:** I have thoroughly reviewed Parts A through D of this Statement and the accompanying instructions, and hereby certify that I have no employment or interest , and that I have received no gift, that raises a conflict of interest or a potential conflict of interest.

ATTACHMENTS:

- _____ **Schedule(s) A**
- _____ **Schedule(s) B**
- _____ **Schedule(s) C**
- _____ **Schedule(s) D**
- _____ **Schedule(s) E**

ADDITIONAL REPORTING REQUIREMENTS

I acknowledge that I am required to disclose in the future any employment or interest that raises a conflict of interest or potential conflict of interest in connection with a specific proposed action sufficiently in advance of the action to provide adequate disclosure to the public.

Signature of Person Filing